

# **Series 65 Practice Exams**

By Philip Martin McCaulay



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This book will help investment professionals pass the Series 65 Uniform Investment Adviser Law Examination. It contains sixteen 130-question practice exams with a total of 2,080 questions. The sample questions are the type that are most likely to appear on the Series 65 test and are in the same format as those on the exam. The questions are straightforward multiple choice questions with four choices and one best answer. Each 130-question practice test is followed by the answer key. After the answer key, each practice exam is repeated with the answers shown and the formulas for the math questions. There are questions for each topic covered on the Series 65 exam, including Economic Factors and Business Information; Investment Vehicle Characteristics; Client Investment Recommendations and Strategies; and Laws, Regulations, and Guidelines, including Prohibition on Unethical Business Practices.



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## **Editorial Review**

About the Author

Philip Martin McCaulay has sold thousands of study guides and practice exam books in the fields of pensions, investments, finance, real estate, math, and massage therapy; including over thirty category top-100 bestsellers for Amazon's Kindle platform. His books on the Series 6, 7, 63, 65, and 66 exams are top-25 bestsellers in the professional study guide category. His books are in the holdings of libraries all over the world including the Library of Congress. He has also written category bestsellers in card games, history, and cooking. Philip Martin McCaulay is an actuary with a BA in Mathematics from Indiana University. He is a Fellow of the Society of Actuaries (FSA), an Enrolled Actuary (EA), a Fellow of the Conference of Consulting Actuaries (FCA), and a Member of the American Academy of Actuaries (MAAA). He is an elected member of the Society of Actuaries Pension Section Council and serves on the Pension Section Communication Team. He is the editor of the Society of Actuaries Pension Section News. He volunteers to write, publish, and ship free copies of books to troops and military families through Operation Paperback.

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